Patent Application

Attorney Docket No. DT-0102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: DELURGIO et al.

Serial No.: 09/849,621

Filed: May 5, 2001

For: APPARATUS FOR MARCHANDISE PROMOTION OPTIMIZATION

3ROUP 360

Mail Stop: Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

February 16, 2004

Dear Sirs:

Certificate of Mailing

Art Unit: Unknown

Examiner: Unknown

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 16, 2004.

INFORMATION DISCLOSURE STATEMENT FILED UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3)
months of the filing date of the above-referenced application, (ii) believed to be filed
before the mailing date of a first Office Action on the merits or, (iii) believed to be filed
before the mailing of a first Office Action after the filing of a Request for Continued
Examination under §1.114. Accordingly, it is believed that no fees are due in connection
with the filing of this Information Disclosure Statement.
37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period
specified in C.F.R § 1.97(b), but before the mailing date of either (i) a final action under
§ 1.113 or, (ii) a notice of allowance under § 1.311.
A certification pursuant to 37 C.F.R. §1.97(e) or
A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

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Respectfully submitted,

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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APPLICANT

LIST OF DOCUMENTS CITED BY APPLICANT **DELURGIO** TO COMPLY WITH 37 C.F.R. 1.56 **GROUP** FILING DATE Unknown 05/05/2001 U.S. PATENT DOCUMENTS SUBCLASS FILING DATE IF **CLASS** NAME DOCUMENT DATE **EXAMINER** APPROPRIATE NUMBER **INITIAL*** Boardman et al. 09/24/2002 AA6,456,986 Walker et al. AB 05/28/2002 6,397,193 Walker et al. AC 6,341,268 01/22/2002 RECEIVED Walker et al. 10/17/2000 AD 6,134,534 FEB 2 3 2004 Fernandez et al. 6,052,686 04/18/2000 ΑE Teicher et al. 08/03/1999 **AF** 5,933,813 GROUP 3600 08/04/1998 Gordon et al. AG 5,790,643 Sheldon et al. 06/09/1998 5,765,143 AH FOREIGN PATENT DOCUMENTS **CLASS** SUBCLASS TRANSLATION NAME DOCUMENT NUMBER YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) "PCT International Search Report", Application Number PCT/US03/30488, mailed CA January 28, 2004. Bruce G.S. Hardie et al., "Attribute-based Market Share Models: Methodological CB Development and Managerial Applications", University of Pennsylvania, Working Paper 98-009, pp. 1-48, 1998. Rossi, Delorgio, & Kantor; "Making Sense of Scanner Data;" Harvard Business Review, CCReprint F00205, 2000. "Pacificorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas," dated CD December 8, 1995. Robert J. Dolan, "How Do You Know When the Price is Right?", Harvard Business CE Review, September-October 1995, P. 4-11 DATE CONSIDERED **EXAMINER**

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.